

# The Significance of Affectors and Affectees Correlations for Branch Prediction

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**Abstract.** This work investigates the potential of *direction*-correlations to improve branch prediction. There are two types of *direction*-correlation: *affectors* and *affectees*. This work considers for the first time their implications at a basic level. These correlations are determined based on dataflow graph information and are used to select the subset of global branch history bits used for prediction. If this subset is small then *affectors* and *affectees* can be useful to cut down learning time, and reduce aliasing in prediction tables. This paper extends previous work explaining why and how correlation-based predictors work by analyzing the properties of *direction*-correlations. It also shows that branch history selected using oracle knowledge of *direction*-correlations improves the accuracy of the limit and realistic conditional branch predictors, that won at the recent branch prediction contest, by up to 30% and 17% respectively. The findings in this paper call for the investigation of predictors that can learn efficiently correlations from long branch history that may be non-consecutive with holes between them.

## 1 Introduction

The ever growing demand for higher performance and technological constraints drive for many years the computer industry toward processors with higher clock rates and more recently to multiple cores per chip. Both of these approaches can improve performance but at the same time can increase the cycle latency to resolve an instruction, the former due to deeper pipelines and the latter due to inter-core contention for shared on-chip resources. Longer resolution latency renders highly accurate conditional branch prediction a necessity because branch instructions are very frequent in programs and need to be resolved as soon as they are fetched in a processor to ensure continuous instruction supply.

Today, after many years of branch prediction research and the two recent branch prediction championship contests [1, 2], the accuracies of the state of the art predictors are high but far from perfect. For many benchmarks the GTL predictor<sup>3</sup> [3] has more than five misses per thousand instructions. Such a rate

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<sup>3</sup> The winner predictor of the limit track of the 2006 branch prediction contest

of misprediction, depending on the average branch resolution latency and other execution overheads, can correspond to a substantial part of the total execution time of a program. Consequently, we believe there is still a need to further improve prediction accuracy. The challenge is to determine how to achieve such an improvement.

In the seminal work by Evers et al. [4] it is shown that choosing more selectively the correlation information can be conducive for improving branch prediction. In particular, using an exhaustive search is determined for a gshare [5] predictor that only a few, not necessarily consecutive, of the most recent branches are sufficient to achieve best prediction accuracy. Furthermore, it is demonstrated that a correlation may exist between branches that are far apart. The same work, introduces two reasons for why global history correlation exists between branches: *direction* and *in-path* correlation, and divides *direction*-correlations into *affectors* and *affectees*.<sup>4</sup> These various types of correlations can mainly be derived by considering the data and control flow properties of branches. These causes of correlation are only discussed qualitatively in [4] to explain what makes two-level branch predictors work, no measurements of their frequency or quantification of their importance are given.

The work by [4] motivated subsequent prediction research with goal the selective correlation from longer global history. One of the most notable is perceptron based prediction [7] that identifies, through training, the important history bits that a branch correlates on. The success of perceptron based prediction provides a partial justification for the claims by [4] for the importance of selective correlation. However, it was never established that the dominant perceptron correlations correspond to *direction* or *in-path* correlation and therefore remains uncertain if indeed such correlations are important or whether predictors exploit them efficiently.

One other interesting work by [6] investigated the usefulness of *affector* branches, one of the types of *direction*-correlation introduced by [4]. In [6] the affector branches are selected dynamically from the global history using data dependence information and are used to train an overriding tagged predictor when a baseline predictor performs poorly. The experimental analysis, for specific microarchitectural configurations and baseline predictors, show that this idea can potentially improve both prediction accuracy and performance. This work also provides the first concrete evidence that the *direction*-correlation is an important information for prediction. However, [6] did not examine the importance of *affectees*.

In this paper we investigate the significance for improving branch prediction accuracy using the two types of *direction*-correlation: *affectors* and *affectees*. Our analysis is done at a basic level because we assume oracle knowledge of *affectors* and *affectees* with different degrees of precision for detecting the correlations and without regard to implementation issues. The primary objectives of this paper is to establish the extent that state of the art predictors learn *direction*-correlations, and determine how precise the selection of *direction*-correlations

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<sup>4</sup> In [6] the two types of *direction*-correlation are referred to as *affectors* and *forerunners*

needs to be for best accuracy. Our evaluation uses the two winning predictors of the limit and realistic track of the recent championship prediction [2] and considers their accuracy when they use the global history as is versus the global history packed [6] to “ignore” the positions with no *direction*-correlation.

### Contributions

The key contributions and findings of this paper are:

- A framework that explains why some branches are more important than others to correlate on. The framework can be used to precisely determine these branches based on architectural properties.
- An experimental analysis of the potential of *direction*-correlations for branch prediction based on oracle knowledge of the correlations.
- An investigation of the position and the number of *direction*-correlations reveals that their behavior varies across programs. Also, is very typical for programs to have branches with the number of correlations ranging from few branches to several hundreds. The correlations can be clustered together but also be very far apart, i.e. correlations may not be consecutive and can have holes between them. Affectees are found to be more frequent than affectors.
- Demonstrate that for best accuracy both affectors and affectees correlations are needed. Their use can provide accuracy improvements of up to 30% for the limit predictor, and 17% for the realistic predictor
- Show that it is crucial to consider *direction*-correlations that are detectable by tracking dependences through memory.
- Establish a need to further study predictors that can learn correlation patterns with and without holes from long branch history.

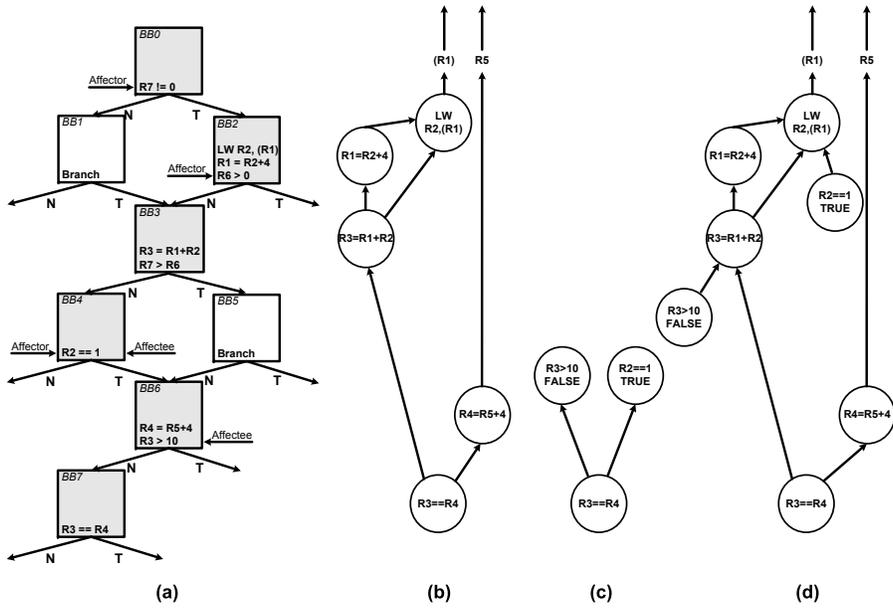
The remaining of the paper is organized as follows. Section 2 defines what affectors and affectees correlations are and discusses parameters that influence the classification of a branch as correlating. Section 3 presents the experimental framework. Section 4 discusses the experimental results of this study and establishes the significance of affectors and affectees. Section 5 discusses related work. Finally, Section 6 concludes the paper and provides directions for future work.

## 2 Affectors and Affectees

This section defines what affector and affectee branches are and provides intuition as to why these are important branches to select for correlation. It also discusses how the treatment of memory instructions influence the classification of a branch as an affector or affectee of another branch. Finally, a discussion is presented on how this correlation information can be used for prediction. Part of this discussion is based on earlier work [4, 6].

### 2.1 Definitions and Intuition

**Affectors:** A dynamic branch,  $A$ , is an affector for a subsequent dynamic branch,  $B$ , if the outcome of  $A$  affects information (data) used by the subsequent branch



**Fig. 1.** (a) Example control flow graph, (b) affector graph, (c) affectee graph and (d) affector plus affectee graph

*B.* Affectors are illustrated using the example control flow graph in Fig. 1.a. Assume that the (predicted) program order follows the shaded basic blocks and we need to predict the branch in the basic block 7. The affector branches are all those branches that steer the control flow to the basic blocks that contain instructions that the branch, in basic block 7, has direct or indirect data dependence. Effectively, the selected affector branches can be thought of as an encoding of the data flow graph leading to the branch to be predicted (this affector data flow graph is shown in Fig. 1.b). Predictors may benefit by learning affector correlations because when branches repeat with the same data flow graph they will likely go the same direction. Furthermore, affector correlations use a more concise branch history to capture the data flow graph leading to a branch and thus reduce learning time and table pressure for training a predictor.

**Affectees:** A dynamic branch, *A*, is affectee of a subsequent dynamic branch, *B*, if *A* is testing the outcome of an instruction *C* that can trace a data dependence to an instruction *D* in the data flow graph leading to *B*.<sup>5</sup> The direction of an affectee branch encodes, usually in a lossy manner, the value produced or yet to be produced by *D*. In the example in Fig. 1.a there are two affectees. One of the affectees is also an affector. In effect, affectees provide an encoding for values consumed or produced in the dataflow graph leading to the branch to be predicted. For example, the affectee branch in BB 4 tell us whether or not

<sup>5</sup> *C* and *D* can be the same instruction.

the value loaded from memory in BB2 is 1. The affectee data flow graph for the example in Fig. 1.a is shown in Fig. 1.c.

**Combo:** It is evident that the combination of affectors and affectees can be more powerful than either correlation alone since affectees can help differentiate between branches with the same data affector data flow graphs but different input values. Similarly, affectors can help distinguish between same affectee graphs that correspond to different affector graphs. The combined affector and affectee data flow graph of our running example is shown in Fig. 1.d.

Section 4 investigates how the above types of correlations affect branch prediction accuracy. We believe that existing predictor schemes are able to learn data flow graphs, as those shown in Fig. 1, but they do this inefficiently using more history bits than needed. Therefore, they may suffer from cold effects and more table pressure/aliasing. Our analysis will establish how much room there is to improve them.

## 2.2 Memory Instructions

An important issue that influences whether a branch is classified as having a *direct*-correlation to another branch is the handling of memory instructions. For precise knowledge of the direct-correlations data dependences need to be tracked through memory. That way a branch that has a dependence to a load instruction can detect correlation to other branches through the memory dependence. Although, tracking dependences through memory is important for developing a better understanding for the potential and properties of affectors and affectees correlations, it may be useful to know the extent that such precise knowledge is necessary. Thus may be interesting to determine how well predictors will work if memory dependences correlations are approximated or completely ignored.

We consider two approximations of memory dependences. The one tracks dependence of address operands ignoring the dependence for the data. And the other does not consider any dependences past a load instruction, i.e. limiting a branch to correlations emanating from the most recent load instructions leading to the branch. These two approximations of memory dependences need to track register dependences whereas the precise scheme requires maintaining dependences between stores and load through memory. We will refer to the precise scheme of tracking dependences as *Memory*, and to the two approximations as *Address*, and *NoMemory*. In Section 4 we will compare the prediction accuracy of the various schemes to determine the importance of tracking accurately correlations through memory.

For the *Memory* scheme we found that is better to not include the address dependences of a load when a data dependence to a store is found (analysis not presented due to limited space). This is reasonable because the correlations of the data encode directly the information affecting the branch whereas the address correlations are indirect and possibly superfluous

Recall that our detection algorithm of correlations is oracle. It is based on analysis of the dynamic data dependence graph of a program. The intention of this work is to establish if there is potential from using more selective correlation.

### 2.3 How to use Affectors and Affectees for Prediction

Based on the findings of this paper one can attempt to design a predictor grounds-up that exploits the properties exhibited by affectors and affectees correlations. That is also our ultimate goal and hopefully this paper will serve as a stepping stone in that direction. This is however may be a non-trivial task and before engaging in such a task may be useful to know if it is a worthwhile effort.

Therefore, in this paper we decided to determine the potential of affectors and affectees using unmodified existing predictors. We simply feed these predictors with the complete global history and with the history selected using our oracle affectors and affectees analysis and compare their prediction accuracy. If this analysis reveals that the selective correlations have consistently and substantially better accuracy then may be worthwhile to design a new predictor.

The only predictor design space option we have is how to represent the selected bits in the global history register. In [6] they were confronted with a similar problem and proposed the use of *zeroing* and *packing*. Zeroing means set a history bit to zero if it is not selected while branches retain their original position in the history register. Packing moves all the selected bits to the least significant part of the history register while other bits are set to zero. Therefore, in packing selected branches lose their original position but retain their order. Our experimental data (not shown due to space constraints) revealed that packing had on average the best accuracy and is the representation we used for the results reported in Section 4.

Our methodology for finding the potential of affectors and affectees may be suboptimal because it uses an existing predictor without considering the properties exhibited in the global history patterns after selection. Another possible limitation of our study has to do with our definition of affectors and affectees. Alternative definitions may lead to even more selective and accurate correlations. For instance by considering only affectees that trace dependences to load instructions. These and other limitations to be found may lead to increased potential and thus the findings of this study should be view as the potential under the assumptions and constraints used in the paper.

## 3 Experimental Framework

To determine the potential of affectors and affectees to increase branch prediction accuracy we used a functional simulation methodology using a simplescalar [8] derived simulator. A subset of SPEC2000 and SPEC95 benchmarks, listed in Table 1, are used for our analysis. For the SPEC2000 benchmarks the early regions identified by sim-point [9] are used, whereas for SPEC95 complete runs of modified reference inputs are executed.

The eight integer benchmarks were chosen because they exhibited the higher misprediction rates in the two suites for a 32KB L-Tage predictor. We did not include the *gzip* benchmark because the memory requirements of this benchmark to track dependences, affectors and affectees were very large. The FP benchmarks

**Table 1.** Benchmarks

SPECINT CPU2000	bzip200, crafty00, mcf00, twolf00, vpr00
SPECFP CPU2000	ammp00, fina3d00, mesa00
SPECINT CPU95	gcc95, go95, ijpeg95

are included as typical representatives of benchmarks with low misprediction rates to ensure that selective correlations does not hurt these benchmarks and to analyze if their correlation patterns are any different from integer or more difficult to predict benchmarks.

Two predictors are used in the experimentation: a 32KB L-TAGE [10] predictor with maximum history length of 400 bits, and the GTL [3] predictor with 400 maximum history length for the GEHL component and 100000 maximum history length for the TAGE component.

For the experiments where selective correlation is used, the selection is applied to the 400 bit global history of the L-TAGE predictor and to the 400 bit history used to access the GEHL component of the GTL predictor. Selection was not used for the TAGE component of GTL because the memory requirements required to track affectors and affectees for a 100000 global history were extremely large and beyond the memory capacities of today's servers.

The detection of affectors and affectees is oracle using the dynamic data flow graph of a program. For memory instructions, unless stated otherwise, the default policy is to track correlations past memory dependences.

The algorithm used to determine affectors is the simple approximation proposed in [6]. A dynamic branch is an affector, of a branch to be predicted, if it is the last, in the dynamic program order, branch that executed before an instruction in the dataflow graph of the branch to be predicted. The algorithm used for detecting affectees is not presented due to space limitations.

## 4 Results

We present three sets of results, the first analyzes the properties of affectors and affectees, the second discusses the accuracy of the GTL predictor, and the third shows the accuracy of the L-TAGE predictor

### 4.1 Characterization of Affectors and Affectees

Fig. 2 and 3 show the cumulative distribution of dynamic branches according to the number of affector and affectee correlations they have. The number of correlations can not exceed 400 since we consider only correlations from the 400 most recent branches. We decided to analyze the behavior for the 400 most recent branches since the two predictors used in the study use a 400 entry global branch history register.

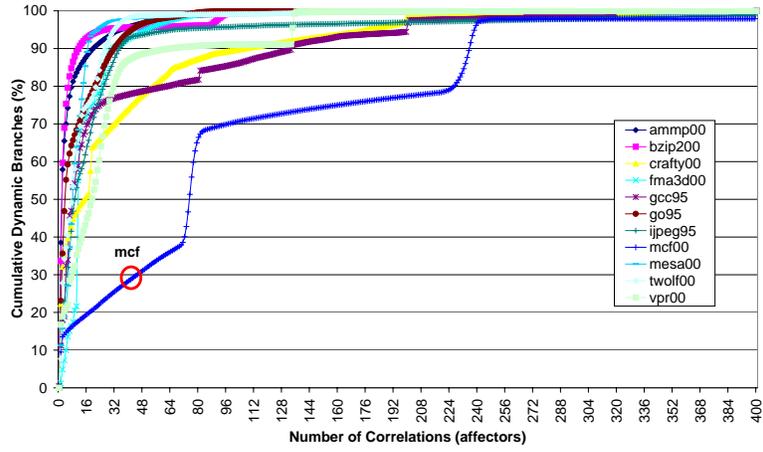


Fig. 2. Affectors distribution

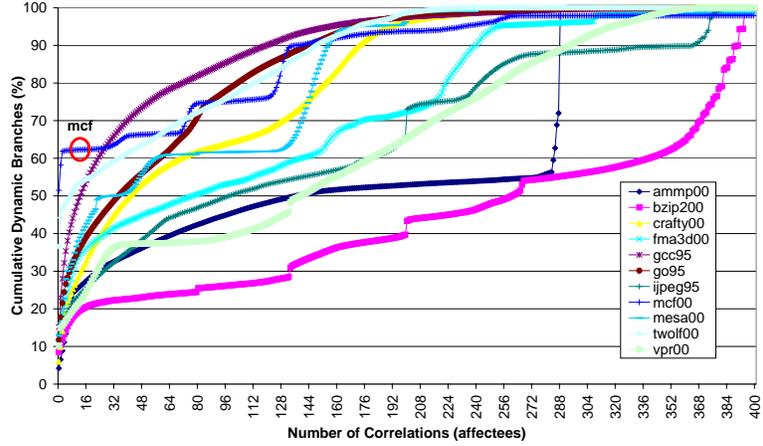
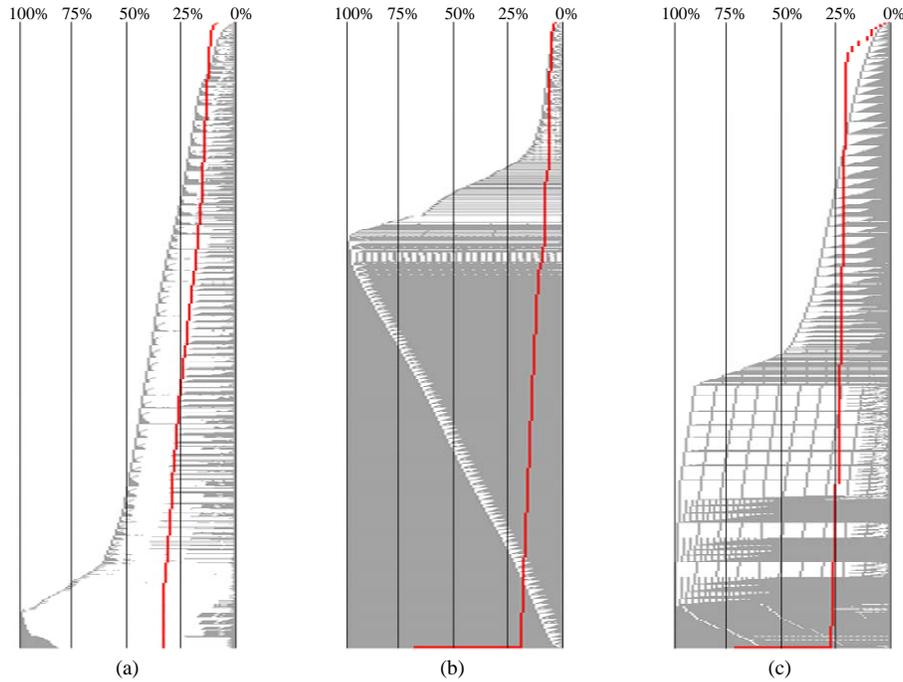


Fig. 3. Affectees distribution

The results reveal that branches usually have much fewer affectors than affectees. For most benchmarks 90% of the branches have at most 30 affectors. According to the definition of affectors, this means that the computation that determines the outcome of a branch can be found in less than 30 out of the most recent 400 basic blocks preceded by a conditional branch. The outlier is *mcf* where many branches have large number of affectors. The data about affectees correlations show clearly that for most programs 50% of the branches have 30 or more affectees. This means that a branch frequently checks information that partially or fully has been tested by at least 30 other out of the 400 most recent branches. The data also show few benchmarks, *bzip*, *ijpeg*, *vpr* to have 300 or more affectee correlations. It is noteworthy that *mcf00*, that has branches with many affectors, has also many branches, about 50%, with 0 affectees. This oc-



**Fig. 4.** Most frequent correlation patterns for (a)twolf00, (b)bzip00 and (c)ammp00

occurs because *mcf* loads and tests data from many memory locations where no correlation to the producers can be found within the least 400 branches. The graph (not shown due to space) for the distribution of the branches when we consider both affectors and affectees is very similar to the one for the affectees.

Overall the data show that for *ALL* benchmarks there are many branches that have much less than maximum number correlations. Provided: (a) affectors and affectees are the dominant types of correlation that predictors need to learn, and (b) existing predictors are unable to use only the relevant part of history, then these data suggest that there may be room for improving prediction.

In Fig. 4 we attempt to give more insight by presenting the dominant patterns of correlation when we consider the combination of affectors and affectees. The figure shows for three benchmarks, *twolf*, *bzip* and *ammp* what are the most frequent 1000 patterns of correlations. To help the reader we present these top patterns sorted from top to bottom according to the oldest position with a correlation (i.e. the most recent correlation position is to the right). The curve that cut-across each graph represents from top to bottom the cumulative branch distribution of the patterns. This line is not reaching 100% since we only display the top 1000 patterns. A given pattern has a gray and white part representing the bit positions with and without correlations. To help the reader we present patterns with 100 positions where each position corresponds to 4 bits (a position

is set to one if any of its corresponding four bits is set). These three graphs are representative of 10 of the 11 benchmarks we considered in this paper. Benchmark *twolf* is representative of *crafty*, *vpr*, *mesa*, *gcc* and *go*, *bzip* of *mcf* and *jpeg*, and both *ammp* and *fma3d* have distinct behaviors. We define the length of a correlation pattern to be the oldest position with a correlation.

One of the main observation from these data is that branch correlations are not always consecutive, there are *holes* between correlated branches. These holes can be of any size and a given correlation pattern can have one or more holes. The hole behavior varies across benchmarks, for *twolf* like benchmarks is dominant whereas for *bzip* like benchmarks they occur less frequently. Within a benchmark there can be both sparse and dense patterns.

More specifically, the results indicate that virtually always correlation patterns include at least few of the most recent branches (for each benchmark almost all patterns have at the right end - most recent branches - few positions set). Also, it is observed across almost all benchmarks that for a given correlation length the pattern with all positions set is very frequent. However, for *twolf* like benchmarks many patterns have correlations that occur at the beginning and at the end of the pattern with all the branches in the middle being uncorrelated. Another remark for *bzip* and *ammp* like benchmarks, is that many branches with correlations distributed over all 100 positions (bottom pattern in Fig. 4 for *bzip* and *ammp* accounts for over 40% of the patterns). Provided it is important to predict by learning precisely the above correlations, the results suggest that there is a need for predictors that can learn efficiently patterns with holes.

Another key observation from Fig. 4 is that correlation patterns occur usually across all history lengths. These underlines the need for predictors to be capable of predicting with variable history length. The distribution of patterns according to length (not shown due to space limitations) is similar to the affectees distribution in Fig. 3 with a slight shift toward the bottom right corner. Assuming it is important to learn precisely the correlation patterns, the exponential like cumulative distributions of correlation lengths, for most benchmarks, suggests that most prediction resources should be devoted to capture correlations with short history length and incrementally use less resources for longer correlations. This observation clearly supports the use of geometric history length predictors [11].

The above observations may represent a call for predictors that can handle both geometric history length and holes. As far as we know no such predictor exists today. In the next section we attempt to establish the potential of such a predictor using two existing geometric history length predictors that are accessed with selected history, with holes, using oracle affectors and affectees correlations.

## 4.2 GTL Results

Fig. 5 shows the accuracy of the GTL predictor when accessed with full global history, only with affectors correlations, only with affectees, and with the combination of affectors and affectees. The data show that the combination of affectors and affectees provides the best performance. It is always the same or better than GTL and almost always better than each correlation separately. The exception is

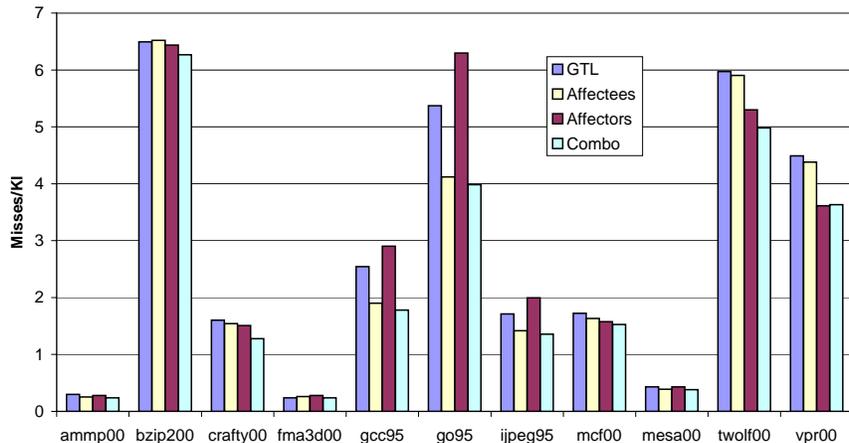


Fig. 5. GTL accuracy with selective correlation

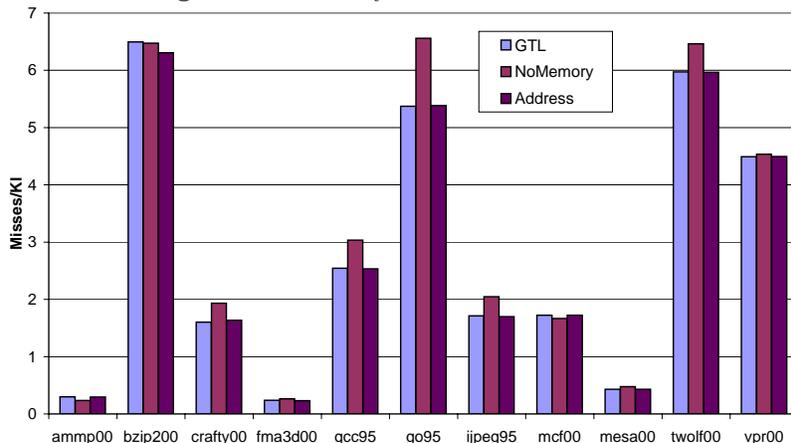


Fig. 6. Significance of memory dependence

*vpr00* where the combination does slightly worse than using only affectors. This can happen when the one type of correlation is sufficient to capture the program behavior and the use of additional information is detrimental. The improvement provided by combining affectors and affectees is substantial for several benchmarks and in particular for *crafty*, *gcc*, *go*, *jpeg*, *twolf*, and *vpr* it ranges from 15% to 30%. For the remaining paper we present results for experiments that combine affectors and affectees since they provide the best overall accuracy.

The data clearly support the claim by [4] that *direction*-correlation is one of the basic types of correlations in programs that predictors need to capture.

Fig. 6 shows the prediction accuracy when we combine affectors and affectees but with no correlations through memory. For each benchmark we present three results, the GTL predictor with full history, the affectors and affectees with no

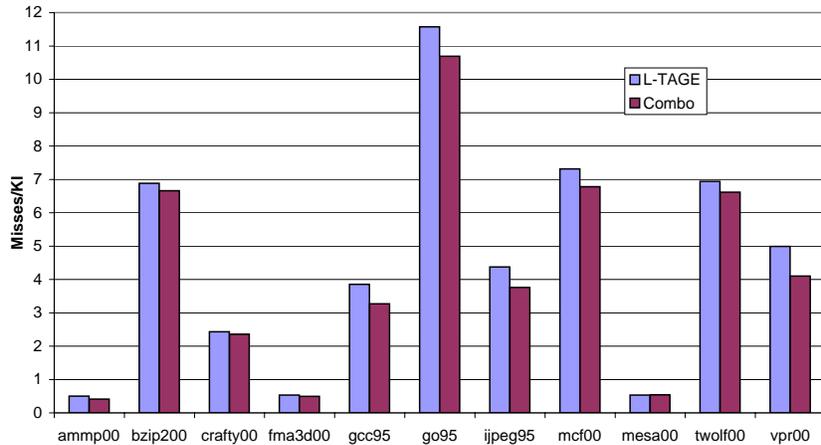


Fig. 7. L-TAGE accuracy with selective correlation

correlations past load instructions (NoMemory), and with correlations past load instructions using their address dependences (Address). The data show that there is very little improvement to be gain when we do not consider correlations through memory dependences. The data indicate that an approximation of memory dependences using addresses dependences offers very little improvement. This underlines that important correlations from the data predecessors of load instructions are needed for improved accuracy.

The data show that selective correlation using the combination of affectors and affectees can provide substantial improvement in prediction accuracy. The results also show that correlations past memory instructions are important and that address dependences provide a poor approximations of the data dependence correlations. Overall, we believe the data suggest that may be worthwhile investigating the development of a predictor that is capable of learning correlations from long history with holes. These conclusions are true for GTL an unrealistically large predictor that demonstrate that the improvements are not mere accident but due to basic enhancements in the prediction process. However, we are interested to know if these observations hold for a realistic predictor. Next we consider selective correlation for a 32KB L-TAGE predictor.

### 4.3 L-TAGE Results

Fig. 7 shows the prediction accuracy for a 32KB L-TAGE when accessed using the complete global history (L-TAGE) and with selective history using the combination of affectors and affectees (Combo). The results show that selective correlation with affectors and affectees can also improve the accuracy of the L-TAGE predictor at a realistic size. The amount of improvement is significant for several benchmarks. In particular, for *gcc*, *jpeg*, and *vpr* is above 15% (for *vpr*

17%). We believe that these improvements call for the design of a predictor that can exploit *direct*-correlations.

The amount of improvements for L-TAGE are smaller as compared to GTL. However, one should recall that GTL is a completely different predictor not simply a bigger L-TAGE predictor. We also performed analysis of the importance of correlations through memory and the data suggest, similarly to GTL, that it is necessary to include such correlations for better accuracy.

## 5 Related Work

Since Smith [12] proposed the first dynamic table based branch predictor, innovation in the field of prediction has been sporadic but steady. Some of the key milestones are: correlation-based prediction [13] that exploits the global and or local correlation between branches, hybrid prediction [5] that combines different predictors to capture distinct branch behavior, variable history length [14] that adjusts the amount of global history used depending on program behavior, the use of perceptrons [7] to learn correlations from long history, geometric history length prediction [11] that employs different history lengths that follow a geometric series to index the various tables of a predictor, and partial tagging [15] of predictor table entries to better manage their allocation and deallocation. The above innovations have one main theme in common: the correlation information used to predict a branch is becoming increasingly more selective. This facilitates both faster predictor training time and less destructive aliasing. Our paper extends this line of work and shows that there is room for further improvement if we could select correlations with holes out of long history.

In two recently organized branch prediction championships [1, 2] researchers established the state of the art in branch prediction. In 2006, the L-TAGE global history predictor [10] was the winner for a 32KB budget. L-TAGE is a multi-table predictor with partial tagging and geometric history lengths that also includes a loop predictor. In the 2006 championship limit contest the GTL predictor [3] provided the best accuracy. GTL combines GEHL [11] and L-TAGE predictors using a meta-predictor. The GEHL global history predictor [11] employs multiple components indexed with geometric history length. Our paper uses the L-TAGE and GTL predictors to examine our ideas to ensure that observations made are not accidental but based on basic principles. The use of longer history is central to these two predictors and the analysis in this paper confirmed the need and usefulness for learning geometrically longer history correlations.

Several previous paper explored the idea of improving prediction by encoding the data flow graphs leading to instructions to be predicted. They use information from instructions in the data flow graph [16–20], such as opcodes, immediate values, and register names, to train a predictor. Effectively these papers are implementing variations of predictors that correlate on affector branches. In [20], they consider using the live in values of the dataflow graphs when they become available and in [17] they examined the possibility of predicting such values. The inclusion of actual or predicted live-in values is analogous to the correlation on

affectee branches of such values, since the predicted or actual outcome of affectee branches represents an encoding of the live-in values.

Mahlke and Natarajan [21] use static dataflow information to determine variables that may influence the outcome of a branch and then performed profiling analysis on these variable to determine simple correlation functions between the values of the variables and the branch outcome. Instructions are inserted in the code to compute the branch direction. In our view, this work also attempts to implement a variation of affectors and affectees correlation since a function supplies analogous information to what can be provided by affectee branches.

A return-history-stack [22] is a method that can introduce holes in the branch history. In broad terms, a return history stack pushes in a stack the branch history register on a call and recovers it on a return, thus introducing holes in the history. A return history stack was shown to be useful for a trace predictor [22] and offered modest improvements for a direction branch predictor [23]. This suggests that there are many cases where branches executed in a function are often no significant correlators to branches executed after the function return.

## 6 Conclusions and Future Work

In this paper we investigate the potential of selective correlation using affectors and affectees branches to improve branch prediction. Experimental analysis of affectors and affectees revealed that many branches have few correlations and often the correlations have holes between them. Prediction using selective correlation, based on oracle selection of affectors and affectees, is shown to have significant potential to improve accuracy for a both a limit and a realistic predictor. The analysis also shows that correlations past memory instruction are needed for best accuracy. Overall, our study suggests that may be worthwhile to consider the design of a realistic predictor that can exploit the properties exhibited by affectors and affectees correlation patterns by learning correlations with and without holes from long history. Another possible direction of future work, is to apply the findings of this paper to static branch prediction, and to other types of predictors, such as values and dependences.

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